

Combinatorics.

Techniques for counting large finite sets without listing elements.

EX How many ways for a salesman to visit 50 cities?

$$\frac{50}{1} \times \frac{49}{2} \times \frac{48}{3} \times \dots \times \frac{1}{50} = 50! \approx 3 \times 10^{64}$$

Computer makes 10^9 additions/second
 10^{47} years to perform additions!

Then the Sum Rule.

If a first task can be done in n_1 ways and a second task can be done in n_2 ways and if these tasks cannot be done at the same time, then there are $n_1 + n_2$ ways to do either task.

In general, if $X = \bigcup_{i=1}^n A_i$ and $A_i \cap A_j = \emptyset$

(disjoint union of A_1, A_2, \dots, A_n) then

$$|X| = \sum_{i=1}^n |A_i|$$

EX. Cards 52 in deck. 4 suits - hearts, clubs, spades and diamonds. 13 kinds: A, 2-10, J, Q, K.

1. In how many ways can we draw a heart or a spade? $13 + 13 = 26$

2. a heart or an ace? $13 + 3 = 12 + 4 = 16$

3. an ace or a king? $4 + 4 = 8$

4. a card numbered 2 through 10? $9 \times 4 = 36$

Ex Die - cube with faces of 1-6 dots

Roll 2 dice.

5. How many ways to get a 7 or 11?

| | |
|----------|-----------|
| <u>7</u> | <u>11</u> |
| 4 3 | 6 5 |
| 3 4 | 5 6 |
| 5 2 | |
| 2 5 | |
| 1 6 | |
| 6 1 | |

$$6+2=8$$

Thm The Product Rule.

Suppose that a procedure can be broken down into two tasks. If there are n_1 ways to do the first task and n_2 ways to do the second task (after the first task has been done) then there are $n_1 \cdot n_2$ ways to do the procedure.

S_1 then S_2 then... then S_n

$$|S_1 \times S_2 \times \dots \times S_n| = \prod_{i=1}^n |S_i|$$

1. Chairs in an auditorium are labelled with a letter followed by a positive integer less than or equal to 100. How many chairs can be labelled differently? $26 \times 100 = 2600$

2. How many bit strings of length n ?
 $\underbrace{2 \times 2 \times \dots \times 2}_{n \text{ times}} = 2^n$

3. How many different license plates have 3 letters followed by 4 digits?

a) repetition allowed $26^3 \times 10^4$

b) only letters repeated $26^3 \times 10 \times 9 \times 8 \times 7$

c) no repetitions $26 \times 25 \times 24 \times 10 \times 9 \times 8 \times 7$

4. Telephone Numbering Plan

X 0-9 Old plan: NYX-NXX-XXXX
Y 0,1 New plan: NXX-NXX-XXXX
N 2-9

Old: $8 \times 2 \times 10 \times 8 \times 8 \times 10 \times 10^4 = 1,024,000,000$

New: $8 \times 10 \times 10 \times 8 \times 10 \times 10 \times 10^4 = 6,400,000,000$

5. Computer system has password 6-8 characters (letter or digit)

a) How many passwords? $36^6 + 36^7 + 36^8$

b) How many passwords if each password must have at least one digit?

$$36^6 + 36^7 + 36^8 - (26^6 + 26^7 + 26^8) = 2,684,489,360$$

Thm Pigeonhole Principle

If $k+1$ or more objects are placed into k boxes, then there is at least one box containing 2 or more of the objects

Proof (Contradiction) Suppose none of the k boxes contains more than 1 object. Then the total number of objects would be at most k .
Contradiction.

① Among 102 students taking an exam (0-100) at least 2 students must have the same grade

② Among any group of 367 people, at least 2 people must have the same birthday (counting Feb 29)

Then the Generalized Pigeonhole Principle.
 If N objects are placed into k boxes, then there is at least one box containing $\lceil \frac{N}{k} \rceil$ objects where $\lceil x \rceil$ is the smallest integer $\geq x$.

Note: $\lceil \frac{N}{k} \rceil < \frac{N}{k} + 1$

$\lceil 2.5 \rceil = 3$ $\lceil -2 \rceil = -2$ $\lceil -2.5 \rceil = -2$ $\lceil 2.5 \rceil = 3 < 3.5$
 $\lceil -2 \rceil = -2 < -1$
 $\lceil -2.5 \rceil = -2 < -1.5$

Proof Contradiction

Suppose all of the boxes contain no more than $\lceil \frac{N}{k} \rceil - 1$ objects. Then the total number of objects is at most $k(\lceil \frac{N}{k} \rceil - 1) < k(\frac{N}{k} + 1 - 1) = N$

Contradiction

(1) Among 100 people, at least $\lceil \frac{100}{12} \rceil = 9$ people were born in the same month.

(2) What is the minimum number of students needed to guarantee at least 6 students will receive the same grade? (A, B, C, D, F) $k=5$

$\lceil \frac{N}{5} \rceil = 6$ $N = 5(6-1) + 1 = 26$

In general $\lceil \frac{N}{k} \rceil = L$ Minimum $N = k(L-1) + 1$

(3) How many area codes are needed in an area with 25 million phones to assure each number is distinct?

$NXX-XXXX$ $N: 2-9$ $X: 0-10$

$8 \times 10^6 = 7$ digit phone numbers

$\lceil \frac{25 \times 10^6}{8 \times 10^6} \rceil = 4$

(one number will be used 4 times so need 4 area codes)

Permutations

Def. A permutation of r objects is an ordered arrangement of these objects

Ex $S = \{a, b, c\}$

$r = 3$ permutations

- abc
- acb
- bac
- bca
- cab
- cba

$r = 2$ permutations

- ab
- ba
- ac
- ca
- bc
- cb

Thm the number of r -permutations of a set with n distinct elements is.

$$P(n, r) = n(n-1)(n-2) \dots (n-r+1) = \frac{n!}{(n-r)!}$$

In particular, there are $P(n, n) = n!$ permutations of n objects

Ex1. Suppose there are 8 runners in a race. Winner receives a gold medal, 2nd place silver, 3rd place bronze. How many different ways to award medals if all outcomes are possible?

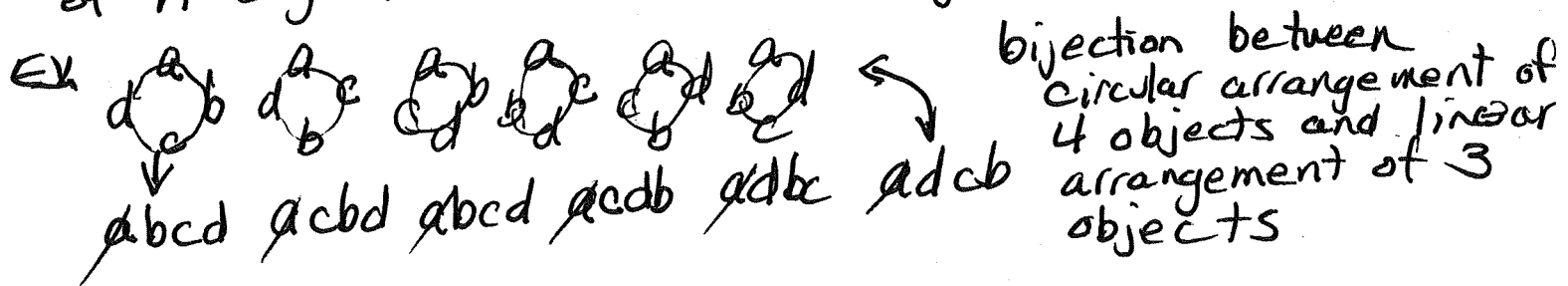
$$P(8, 3) = 8 \times 7 \times 6$$

Ex2. In how many ways can 7 women and 3 men

- a) be arranged in a row? $10!$
- b) so that women are together and men together?
 $2 \times 7! \cdot 3!$
- c) so that men are together? $3! \cdot 8!$
- d) so that the men are separated from each other? $7! \cdot P(8, 3)$

Thm there are $(n-1)!$ circular arrangements of n objects

Proof Fix one object. Cut the circle counterclockwise to that object. Form a linear arrangement. Delete the fixed object. This will give you a bijection between circular arrangements of n objects and linear arrangements of $n-1$ objects



Combinations

Def An r -combination of elements from a set is an unordered selection of r elements (r subset).

$$S = \{a, b, c, d\} \quad r=3 \quad \{a, b, c\} \quad \{a, b, d\} \quad \{b, c, d\} \quad \{a, c, d\}$$

Thm the number of r combinations from a set with n elements is $C(n, r) = \frac{n!}{r!(n-r)!}$

Proof For each r combination from a set with n elements, we can form $r!$ r -permutations.

So $C(n, r) \cdot r! = P(n, r) \quad \text{or}$

$$C(n, r) = \frac{P(n, r)}{r!} = \frac{n!}{\frac{(n-r)!}{r!}} = \frac{n!}{r!(n-r)!}$$

EX.1 How many 5 card hands? $C(52,5)$

a) of hearts? $C(13,5)$

b) from a single suit? $C(4,1)C(13,5)$

c) have 2 aces and 3 kings? $C(4,2)C(4,3)$

d) have 2 of one kind and 3 of another kind?

$$P(13,2)C(4,2)C(4,3)$$

$$= 2 C(13,2)C(4,2)C(4,3)$$

$$= 13 \times 12 \times C(4,2)C(4,3)$$

EX2. There are 21 consonants, 5 vowels $\{a, e, i, o, u\}$ in alphabet.

Consider 8 letter strings, no repeats

a) How many strings? $P(26,8)$

b) How many 8 letter strings with 3 vowels and 5 consonants?

$$C(5,3)C(21,5) \times 8!$$

i) containing "a" $C(4,2)C(21,5) \times 8!$

ii) containing "a, b" $C(4,2)C(20,4) \times 8!$

iii) containing "a", but not "b" $C(4,2)C(20,5) \times 8!$

iv) starting with "a", ends with "b" $C(4,2)C(20,4) \times 6!$

Combinatorial Identities

See homework problem 15 in Combinatorics Supplementary Problems for solutions. Prove

① $C(n,r) = C(n, n-r)$

② $C(n,r)C(n,k) = C(n,k)C(n-k, r-k)$

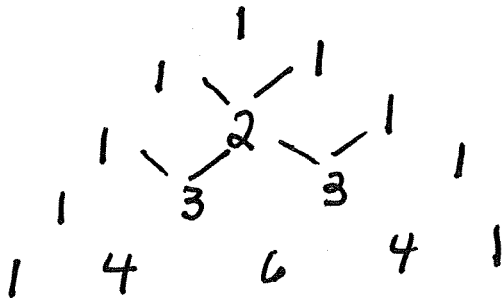
③ $P(n,r) = n P(n-1, r-1)$

④ Pascal's Identity $C(n,r) = C(n-1,r) + C(n-1, r-1)$

Pascal's Triangle

Note: $C(n,0) = C(n,n) = 1$

$C(0,0)$
 $C(1,0) \quad C(1,1)$
 $C(2,0) \quad C(2,1) \quad C(2,2)$
 $C(3,0) \quad C(3,1) \quad C(3,2) \quad C(3,3)$
 $C(4,0) \quad C(4,1) \quad C(4,2) \quad C(4,3) \quad C(4,4)$



For interior values, use Pascal's identity

Thm The Binomial Theorem

$$(x+y)^n = \sum_{k=0}^n C(n,k) x^{n-k} y^k$$

$$= C(n,0)x^n + C(n,1)x^{n-1}y + \dots + C(n,n-1)xy^{n-1} + C(n,n)y^n$$

① Expand $(x+y)^6 = x^6 + 6x^5y + 15x^4y^2 + 20x^3y^3 + 15x^2y^4 + 6xy^5 + y^6$

Expand $(k+1)^5 = k^5 + 5k^4 + 10k^3 + 10k^2 + 5k + 1$

② Find the coefficient of

a) s^7t^5 in $(s+t)^{12}$ $n=12$

$C(12,k) s^{12-k} t^k$ $k=5$: $C(12,5) s^7 t^5$

b) s^7t^5 in $(2s-t)^{12}$ $n=12$

$C(12,k) (2s)^{12-k} (-t)^k$ $k=5$ $C(12,5) 2^7 (-1)^5$

c) x^8y^{18} in $(2x^2+3y^3)^{10}$ $n=10$

$C(10,k) (2x^2)^{10-k} (3y^3)^k$ $k=6$

$C(10,6) 2^4 3^6$

③ Prove $2^n = \sum_{k=0}^n C(n, k)$

Binomial theorem: $(x+y)^n = \sum_{k=0}^n C(n, k) x^{n-k} y^k$

let $x=y=1$ $(1+1)^n = \sum_{k=0}^n C(n, k) 1^{n-k} 1^k$

$2^n = \sum_{k=0}^n C(n, k)$

Proof of binomial theorem

$(x+y)^n = C(n, 0)x^n + C(n, 1)x^{n-1}y + \dots + C(n, n-1)xy^{n-1} + C(n, n)y^n$

for $n=1, 2, \dots$

$n=1$ $(x+y)^1 = C(1, 0)x^1 + C(1, 1)y^1 = x+y$

Assume $(x+y)^k = C(k, 0)x^k + C(k, 1)x^{k-1}y + \dots + C(k, k)y^k$

Prove $(x+y)^{k+1} = C(k+1, 0)x^{k+1} + \dots + C(k+1, k+1)y^{k+1}$

Multiply assumption by $(x+y)$

$(x+y)^k(x+y) = C(k, 0)x^{k+1} + \underbrace{C(k, 0)x^k y + C(k, 1)x^k y + C(k, 1)x^{k-1}y^2}_{\leftarrow \text{Pascal's Identity}} + \dots + C(k, k)x y^k + C(k, k)y^{k+1}$

$C(k, 0) = C(k, k) = 1 = C(k+1, 0) = C(k+1, k+1)$

$C(k+1, 0)x^{k+1} + C(k+1, 1)x^k y + \dots + C(k+1, k+1)y^{k+1}$

(Middle terms collapse into appropriate terms)